

» *Cross Border Fund Distribution*

On 2 August 2021, the provisions of the EU legislation on cross-border fund distribution (CBDF) came into force. The CBDF legislation, which aims to reduce barriers to the cross-border marketing of funds, includes a directive amending the cross-border marketing provisions of UCITS Directive, as well as a regulation setting out disclosure rules for marketing communication of UCITS. The Regulation's disclosure rules for marketing will be complemented by ESMA's Level 3 Guidelines. While the CBDF Regulation is directly effective and applicable from 2 August 2021, the provisions of the CBDF Guidelines will need to be transposed into national law before they become applicable in each Member State. As the EU Member States have yet to implement the CBDF Directive (2019/1160), this briefing is based on the provisions of the CBDF Directive and could be affected by individual Member States' national laws implementing the CBDF Directive.

Investors in Funds have the right to have any complaints addressed as per UCITS regulations. Fisch Asset Management AG has implemented procedures to ensure that all complaints are addressed as per regulatory and conduct of business requirements, Fund Investors wishing to register a complaint should use the appropriate contact information provided on this page. Please see the information provided below. This provides the contact details required to initiate a complaint process in Switzerland as well as the various EU jurisdictions where Funds are distributed in the EU as per the requirements of the Cross Border Distribution Regulation.

You can address your request to us as follows:

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